



## Standard Operating Policies Corporate Compliance Index

<b>Title:</b>	<b>Index Corporate Compliance Policies</b>	<b>Effective Date:</b>	<b>02/08/2013</b>
<b>Author:</b>	<b>Chief Compliance Officer</b>	<b>Last Review Date:</b>	<b>12/01/2021</b>
<b>Location:</b>	<b>All Locations</b>	<b>Last Revision Date:</b>	<b>12/01/2021</b>
<b>Functional Area:</b>	<b>Administration</b>		

### POLICY

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Approved By: \_\_\_\_\_

### Revision History

Version	Date	Author	Summary of Changes
#1	02/08/2021	John Beck	Initial ISF release – refactor and update of previous security policies into distinct documents
#2	12/01/2021	John Beck	Annual review. Added inactivity lock requirement